

# Symmetry and classification results for solutions of nonlinear Poisson's equation

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## 1 Introduction

- Presentation of the problem
- Remember the last talk !

## 2 Symmetry results in an epigraph

- Case of an epigraph in dimensions  $N = 2, 3$
- Case of the whole space
- Particular case of the half-space

## 3 Classification results

## 4 Annexe

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# Semilinear Poisson's equation :

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where

- $u \in C^2(\overline{\Omega})$  is a classical solution.
- $\Omega = \mathbb{R}^N$  or  $\Omega \subset \mathbb{R}^N$  is an epigraph, i.e

$$\{x = (x', x_N) \in \mathbb{R}^N, x_N > g(x')\},$$

where  $g : \mathbb{R}^{N-1} \rightarrow \mathbb{R}$  is a differentiable function.

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where  $g : \mathbb{R}^{N-1} \rightarrow \mathbb{R}$  is a differentiable function.

- $f : \mathbb{R} \rightarrow \mathbb{R}$  is a differentiable function on  $\mathbb{R}$  or Lipschitz-continuous function on  $\mathbb{R}$ .



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# Symmetry results general

## Theorem (B., Farina, 2025)

Let  $\Omega = \mathbb{R}_+^N$  or  $\Omega \subset \mathbb{R}^N$  be a domain of class  $C^3$ . Let  $f \in Lip_{loc}([0, +\infty))$  and let  $u \in C^2(\overline{\Omega})$  be a solution to (1) such that

$$\int_{B(0,R) \cap \Omega} |\nabla u|^2 = o(R^2 \ln R) \quad \text{as } R \rightarrow \infty. \quad (2)$$

If  $u$  is monotone, i.e.,

$$\frac{\partial u}{\partial x_N}(x) > 0 \quad \forall x \in \Omega, \quad (3)$$

then,  $\Omega = \mathbb{R}_+^N$  up to isometry and there exists  $u_0 : \mathbb{R} \rightarrow (0, +\infty)$  strictly increasing such that

$$u(x) = u_0(x_N) \quad \forall x \in \mathbb{R}_+^N.$$

# Monotonicity results

## Theorem (B., Farina, Sciunzi, 2025)

Let  $\Omega$  be a globally Lipschitz continuous epigraph bounded from below,  $f \in \text{Lip}([0, +\infty))$  with  $f(0) \geq 0$  and let  $u$  be a classical solution of

$$\begin{cases} -\Delta u = f(u) & \text{in } \Omega, \\ u > 0 & \text{in } \Omega, \\ u = 0 & \text{on } \partial\Omega. \end{cases} \quad (4)$$

Assume that  $u$  have at most exponential growth on finite strip then  $u$  is strictly increasing in the  $x_N$ -direction, i.e.

$$\frac{\partial u}{\partial x_N}(x) > 0 \quad \forall x \in \Omega.$$

# Monotonicity results

Remark If  $f$  is not Lipschitz continuous then Theorem 2 is false.  
Indeed

$$u(x) = \begin{cases} 0 & \text{if } 0 \leq x_N \leq 1, \\ (1 - (x_N - 2)^4)^4 & \text{if } 1 < x_N \leq 3, \\ (1 - (x_N - 4)^4)^4 & \text{if } 3 < x_N \leq 4, \\ 1 & \text{if } x_N > 4, \end{cases}$$

is a classical solution of (4) with

# Monotonicity results

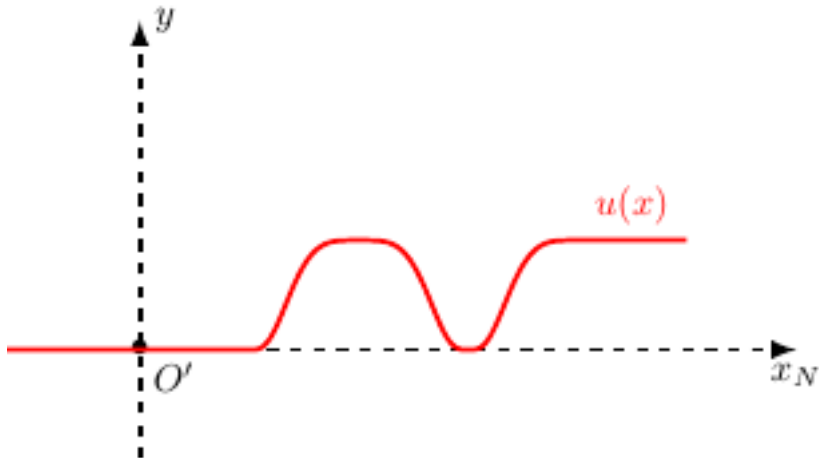
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is a classical solution of (4) with

$$f(t) = \begin{cases} 0 & \text{if } t < 0, \\ -192(t(1 - t^{\frac{1}{4}}))^{\frac{1}{2}}(1 - \frac{5}{4}t^{\frac{1}{4}}) & \text{if } 0 \leq t \leq 1, \\ 0 & \text{if } t > 1. \end{cases}$$

# Monotonicity results



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## Theorem (B., Farina, 2025)

*Let  $\Omega$  be a smooth enough epigraph bounded from below,  $f \in Lip_{loc}([0, +\infty))$  with  $f(0) < 0$  and let  $u$  be a classical solution of (1) with  $c \neq 0$ . Assume that*

*$\nabla u$  is bounded on finite strips.*

*Then  $u$  is strictly increasing in the  $x_N$ -direction, i.e.*

$$\frac{\partial u}{\partial x_N}(x) > 0 \quad \forall x \in \Omega.$$

# Monotonicity results

## Theorem (Farina, Sciunzi, 2017)

Let  $f \in Lip_{loc}([0, +\infty))$  and  $u \in C^2(\overline{\mathbb{R}_+^2})$  be a classical solution of

$$\begin{cases} -\Delta u = f(u) & \text{in } \mathbb{R}_+^2, \\ u > 0 & \text{in } \mathbb{R}_+^2, \\ u = 0 & \text{on } \partial\mathbb{R}_+^2. \end{cases}$$

Then  $u$  is strictly increasing in the  $x_2$ -direction, i.e.

$$\frac{\partial u}{\partial x_2}(x) > 0 \quad \forall x \in \mathbb{R}_+^2.$$



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## Theorem (B., Farina, 2025)

*Let  $\Omega \subset \mathbb{R}^2$  be a smooth enough epigraph bounded from below and let  $u \in C^2(\overline{\Omega})$  be a classical solution of (1). Assume that  $f \in Lip_{loc}([0, +\infty))$ ,  $f(0) \geq 0$  and*

$$\nabla u \in L^\infty(\Omega);$$

*Then,  $\Omega = \mathbb{R}_+^2$  up to a vertical translation and there exists  $u_0 : [0, +\infty) \rightarrow (0, +\infty)$  strictly increasing such that*

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$$u(x) = u_0(x_2) \quad \forall x \in \mathbb{R}_+^2.$$

Remark : if  $\nabla u \notin L^\infty(\Omega)$  then Theorem (5) is false (see  $u(x_1, x_2) = x_2 e^{x_1}$  in  $\mathbb{R}_+^2$ )

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$$\begin{cases} f \in Lip([0, +\infty)), & f(0) \geq 0, & f(t) \leq 0 & \text{in } (0, +\infty), \\ u(x) = o(|x| \ln^{\frac{1}{2}} |x|), & & \text{as } |x| \longrightarrow \infty. \end{cases}$$

Then,  $\Omega = \mathbb{R}_+^2$  up to a vertical translation and there exists  $u_0 : [0, +\infty) \rightarrow (0, +\infty)$  strictly increasing such that

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Remark : All previous Theorem hold true even if  $c = 0$ .

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Let  $f \in C^1(\mathbb{R})$ , we say that a solution  $u$  of

$$-\Delta u = f(u) \quad \text{in } \Omega,$$

is stable if, for any  $\phi \in C_c^1(\Omega)$ , there holds

$$\int_{\Omega} f'(u) \phi^2 \leq \int_{\Omega} |\nabla \phi|^2.$$

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### Theorem (Dupaigne, Farina, 2022)

*Assume that  $u \in C^2(\mathbb{R}^N)$  is bounded below and that  $u$  is a stable solution of*

$$-\Delta u = f(u) \quad \text{in } \mathbb{R}^N.$$

*where  $f : \mathbb{R} \rightarrow \mathbb{R}$  is locally Lipschitz and nonnegative. If  $N \leq 10$ , then  $u$  must be constant.*

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Remark : If  $u$  is not bounded below then the latter does not hold

## Symmetry results for stable solutions

### Theorem (Work in progress)

Let  $N \geq 2$  and  $u \in C^2(\mathbb{R}^N)$  be a stable solution of

$$-\Delta u = f(u) \quad \text{in } \mathbb{R}^N,$$

where  $f \in C^1(\mathbb{R})$ . Assume that

$$\int_{B(0,R)} |\nabla u|^2 = O(R^2 \ln R) \quad \text{as } R \rightarrow +\infty. \quad (5)$$

Then, either

1-  $u$  is constant,

or,

2-  $u$  is a function of  $x_N$  (up to a rotation) and monotone in  $x_N$ .

# Symmetry results for stable solutions

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Let  $2 \leq N \leq 4$  and  $u \in C^2(\mathbb{R}^N)$  a stable solution of

$$-\Delta u = f(u) \quad \text{in } \mathbb{R}^N.$$

where  $f \in C^1(\mathbb{R})$ . Assume that :

H1-  $\exists \zeta \in \mathbb{R}$  such that  $f(t) \geq 0$  on  $(-\infty, \zeta]$  and  $f(t) \leq 0$  on  $(\zeta, +\infty)$ ,

H2-  $|u(x)| = O(|x|^{\frac{4-N}{2}} \ln^{1/2} |x|)$  as  $|x| \rightarrow +\infty$ .

Then there exists  $u_0 : \mathbb{R} \rightarrow \mathbb{R}$  such that, up to a rotation,

$$u(x) = u_0(x_N) \quad \text{for any } x \in \mathbb{R}^N.$$

## Remarks :

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(ex :  $u(x) = x_2 \sin(x_1)$ ) .
- 4 Consider  $u(x) = x_1 x_2$  then  $u$  satisfies  $-\Delta u = 0$  in  $\mathbb{R}^N$ .  
However,  $u$  is not one-dimensional.

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where  $f \in C^1(\mathbb{R})$ . Assume that :

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Then there exists  $u_0 : \mathbb{R} \rightarrow \mathbb{R}$  such that, up to a rotation,

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where  $f \in Lip(\mathbb{R})$  is non-negative and non-decreasing. Assume that :

$$u(x) = o(|x|^{\frac{4-N}{2}} \ln^{1/2} |x|) \text{ as } |x| \rightarrow +\infty.$$

Then there exists  $u_0 : \mathbb{R}^+ \rightarrow \mathbb{R}^+$  an increasing function such that, up to a rotation,

$$u(x) = u_0(x_N) \quad \text{for any } x \in \mathbb{R}_+^N.$$

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## Dimensions $2 \leq N \leq 11$

### Theorem (B., A. Farina, 2025)

*Let  $\Omega$  be an epigraph defined by a function  $g$  Lipschitz continuous bounded from below. Let  $u \in C^2(\Omega) \cap C^0(\overline{\Omega})$  be a bounded classical solution to*

$$\begin{cases} -\Delta u = f(u) & \text{in } \Omega, \\ u \geq 0 & \text{in } \Omega, \\ u = 0 & \text{on } \partial\Omega. \end{cases}$$

*Assume that  $f \in C^1([0, +\infty))$ ,  $f(t) > 0$  for  $t > 0$  and  $2 \leq N \leq 11$ , then  $u \equiv 0$  and  $f(0) = 0$ .*

## Remarks :

- This Theorem holds even if  $g$  is not Lipschitz continuous : see the following examples :

①  $g$  is coercive (i.e.  $\lim_{|x| \rightarrow +\infty} g(x) = +\infty$ )

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②  $g(x_1) = e^{x_1}$ ,  $g(x_1, \dots, x_{N-1}) = (x_1)^2 + \prod_{j=2}^{N-1} \sin(jx_j)$ .

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- This Theorem holds even if  $g$  is not Lipschitz continuous : see the following examples :
  - ①  $g$  is coercive (i.e.  $\lim_{|x| \rightarrow +\infty} g(x) = +\infty$ )
  - ②  $g(x_1) = e^{x_1}$ ,  $g(x_1, \dots, x_{N-1}) = (x_1)^2 + \prod_{j=2}^{N-1} \sin(jx_j)$ .
- If  $f$  is not positive, then the Theorem is false. See  $u(x) = \sin^2(x_N)$ .

## Remarks :

- This Theorem holds even if  $g$  is not Lipschitz continuous : see the following examples :
  - ①  $g$  is coercive (i.e.  $\lim_{|x| \rightarrow +\infty} g(x) = +\infty$ )
  - ②  $g(x_1) = e^{x_1}$ ,  $g(x_1, \dots, x_{N-1}) = (x_1)^2 + \prod_{j=2}^{N-1} \sin(jx_j)$ .
- If  $f$  is not positive, then the Theorem is false. See  $u(x) = \sin^2(x_N)$ .
- The previous theorem remains true even for  $N \geq 12$ , if we add an assumption about the behaviour of  $f$  at the origin.

## Theorem (B., A.Farina, 2025)

Assume  $N \geq 12$  and let  $\Omega$  be an epigraph defined by a function  $g$  Lipschitz continuous bounded from below. Let  $u \in C^2(\Omega) \cap C^0(\overline{\Omega})$  be a bounded classical solution to

$$\begin{cases} -\Delta u = f(u) & \text{in } \Omega, \\ u \geq 0 & \text{in } \Omega, \\ u = 0 & \text{on } \partial\Omega. \end{cases} \quad (6)$$

Assume that  $f \in C^1([0, +\infty))$ ,  $f(t) > 0$  for  $t > 0$  and  $\liminf_{t \rightarrow 0^+} \frac{f(t)}{t^s} > 0$ , for some  $s \in \left[0, \frac{N-3}{N-5}\right)$ .  
 Then  $u \equiv 0$  and  $f(0) = 0$ .

## Remarks :

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## Remarks :

- If  $f(0) > 0$  then there exists no solution to the previous Theorem.
- The aim of this theorem is to study the case  $f(t) = t^p$  ( $p > 1$ ). However, here, we are limited on the choice of  $p$ . Indeed, we must have

$$1 < p \leq s < \frac{N-3}{N-5}.$$



## Theorem (B., A.Farina, 2025)

Assume  $N \geq 12$  and let  $\Omega$  be an epigraph defined by a function  $g$  Lipschitz continuous bounded from below. Let  $u \in C^2(\Omega) \cap C^0(\overline{\Omega})$  be a bounded classical solution to

$$\begin{cases} -\Delta u = u^p & \text{in } \Omega, \\ u \geq 0 & \text{in } \Omega, \\ u = 0 & \text{on } \partial\Omega. \end{cases}$$

Assume that

$$1 < p \leq p_c(N-1) = \frac{(N-3)^2 - 4(N-1) + 8\sqrt{N-2}}{(N-3)(N-11)}.$$

Then  $u \equiv 0$ .

## Remarks :

- For  $N \geq 12$ , we have

$$\frac{N-3}{N-5} < \frac{(N-3)^2 - 4(N-1) + 8\sqrt{N-2}}{(N-3)(N-11)}.$$

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Example : For  $N = 12$ ,  $\frac{N-3}{N-5} = 1.28$  and  $p_c(N-1) = 6.92$ .

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- This Theorem works for others non linearities  $f$ . It must satisfies

$$\left\{ \begin{array}{l} f \in C^1([0, +\infty)) \cap C^2((0, +\infty)), \quad f(0) = 0, \\ f > 0, \text{ nondecreasing and convex in } (0, +\infty) \\ \text{s.t.} \quad \lim_{u \rightarrow 0^+} \frac{f'(u)^2}{f(u)f''(u)} := q_0 \in [0, +\infty] \end{array} \right. \quad (7)$$



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## Theorem (B., A.Farina, 2025)

*Assume  $N \geq 12$  and let  $\Omega$  be an epigraph defined by a function  $g \in \mathcal{G}$ . Also suppose that  $\Omega$  is bounded from below and satisfies a uniform exterior cone condition.*

*Let  $u \in C^0(\overline{\Omega}) \cap H_{loc}^1(\overline{\Omega})$  be a bounded distributional solution to (6) where  $f$  satisfies (7).*

*Suppose that  $p_0$ , the conjugate exponent of  $q_0$ , satisfies*

$$1 \leq p_0 < p_c(N-1), \quad (8)$$

*where  $p_c$  is the Josph-Lundgren stability exponent given by*

$$p_c(N) = \frac{(N-2)^2 - 4N + 8\sqrt{N-1}}{(N-2)(N-10)}.$$

*Then  $u \equiv 0$ .*



## Proof of proposition ( $\tilde{t} < +\infty$ ) with $f(0) > 0$

Suppose that there exists  $\delta \in (0, \frac{\tilde{t}}{2})$  in such a way that

$$\forall k > 0 \quad \exists \varepsilon_k \in (0, \frac{1}{k}) \quad \exists x^k \in \overline{\Sigma_{\delta, \tilde{t}-\delta}^g} \quad \text{such that } u(x^k) > u_{\tilde{t}+\varepsilon_k}(x^k).$$

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$$x_N^k \in [\delta, \tilde{t} - \delta], \text{ thus } x_N^k \rightarrow x_\infty \in [\delta, \tilde{t} - \delta].$$

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$x_N^k \in [\delta, \tilde{t} - \delta]$ , thus  $x_N^k \rightarrow x_\infty \in [\delta, \tilde{t} - \delta]$ . We fix

$$u_k(x) = u(x' + (x^k)', x_N)$$

where  $x = (x', x_N) \in \Omega^k := \{(x', x_N) \in \mathbb{R}^N, x_N > g_k(x')\}$  and

$$g_k(x') = g(x' + (x^k)').$$

# Proof of proposition ( $\tilde{t} < +\infty$ ) with $f(0) > 0$

We have

$$\left\{ \begin{array}{ll} -\Delta u_k = f(u_k) & \text{in } \Omega^k \\ u_k > 0 & \text{in } \Omega^k \\ u_k = 0 & \text{on } \partial\Omega^k \\ u_k(0', x_N^k) > u_{k, \tilde{t} + \varepsilon_k}(0', x_N^k) & \\ u_k(x) \leq u_{k, \tilde{t}}(x) & \text{in } \Sigma_{\tilde{t}}^{g_k} \end{array} \right.$$

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We can show that there exists  $g_\infty \in C^0(\mathbb{R}^{N-1})$  such that

$$g_k \rightarrow g_\infty \quad \text{in } C_{\text{loc}}^0(\mathbb{R}^{N-1}).$$

We denote by  $\Omega^\infty$  its epigraph.

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And there exists  $u_\infty \in C^2(\Omega^\infty)$  such that

$$u_k \rightarrow u_\infty \quad \text{in } C_{\text{loc}}^0(\Omega^\infty).$$

# Proof of proposition ( $\tilde{t} < +\infty$ ) with $f(0) > 0$

Moreover  $u_\infty$  solves

$$\left\{ \begin{array}{ll} -\Delta u_\infty = f(u_\infty) & \text{in } \Omega^\infty, \\ u_\infty \geq 0 & \text{in } \Omega^\infty, \\ u_\infty = 0 & \text{on } \partial\Omega^\infty, \\ u_\infty(0', x_\infty) > u_{\infty, \tilde{t}}(0', x_\infty), \\ u_\infty(x) \leq u_{\infty, \tilde{t}}(x) & \text{in } \Sigma_{\tilde{t}}^{g_\infty}. \end{array} \right.$$

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We have

$$-\Delta u_\infty + L_f u_\infty \geq 0 \quad \text{in } \Omega$$

thus by the maximum principle

$$\text{either } u_\infty \equiv 0 \quad \text{or either } u_\infty > 0.$$



# Proof of proposition ( $\tilde{t} < +\infty$ ) with $f(0) > 0$

If we fix  $w = u_{\infty, \tilde{t}} - u_{\infty}$  then we have

$$\begin{cases} -\Delta w + L_f w \geq 0 & \text{in } \Sigma_{\tilde{t}}^{g_{\infty}}, \\ w \geq 0 & \text{in } \Sigma_{\tilde{t}}^{g_{\infty}}, \\ w(0', x_{\infty}) = 0 \end{cases}$$

# Proof of proposition ( $\tilde{t} < +\infty$ ) with $f(0) > 0$

If we fix  $w = u_{\infty, \tilde{t}} - u_{\infty}$  then we have

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Therefore, by the maximum principle  $w \equiv 0$  in connected component of  $\Sigma_{\tilde{t}}^{g_{\infty}}$  which contains  $(0', x_{\infty})$ .

### Theorem (Hopf's lemma)

Let  $\Omega \subset \mathbb{R}^N$  be a domain and  $u \in C^2(\Omega) \cap C^1(\overline{\Omega})$  and  $c \in L^\infty(\Omega)$  such that

$$\begin{cases} -\Delta u + cu \geq 0 & \text{in } \Omega \\ u \geq 0 & \text{in } \Omega \end{cases}$$

Then

- ① If there exists  $x_0 \in \Omega$  such that  $u(x_0) = 0$  then

$$u \equiv 0 \quad \text{in } \Omega.$$

- ② If not

$$u > 0 \quad \text{in } \Omega,$$

and if  $y_0 \in \partial\Omega$ ,  $u(y_0) = 0$ , and  $\Omega$  satisfies the interior ball condition at  $y_0$  then

$$\frac{\partial u}{\partial \nu}(y_0) < 0.$$

where  $\nu$  is the exterior unit normal to  $\Omega$  at  $y_0$ .